



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **HARUKI et al.**

Group Art Unit: **2623**

Serial No.: **10/678,225**

Examiner: **Ryan J. Hesseltine**

Filed: **October 6, 2003**

Confirmation No.: **2397**

For: **EXTENSION DEVICE PROVIDING SECURITY FUNCTION**

Attorney Docket Number: **000993A**  
Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.97(c)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: January 19, 2005

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Forms PTO/SB/08A and PTO/SB/08B. A copy of each non-U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

Information Disclosure Statement under 1.97  
Attorney Docket No. 000993A  
Serial No. 10/678,225

If there are any fees due in connection with the filing of this paper, please charge Deposit  
Account No. 50-2866.

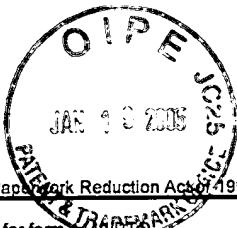
Respectfully submitted,

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**



John P. Kong  
Attorney for Applicants  
Registration No. 40,054  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

JPK/kal  
Enclosures: Forms PTO/SB/08A and PTO/SB/08B  
References



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
U.S. GOVERNMENT PRINTING OFFICE: 2006 50-1320-000000

### Substitute for form

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

Application Number	10/678,225
Filing Date	October 6, 2003
First Named Inventor	Kenichi Haruki
Art Unit	2623
Examiner Name	Ryan J. Hesseltine
Attorney Docket Number	000993A

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	1	JP 11-110533	04/23/1999	Mitsumi ....		
	2	JP 3002964	11/10/1994			
	3	JP 10-149446	06/02/1998	Mitsubishi ...		
	4	JP 10-211191	08/11/1998	Toshiba ...		
	5	JP 63-231762	09/27/1988	Matsushita Elec		
	6	JP 08-078114	03/22/1996	Murata MFG ...		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Complete if Known**

Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet

2

of

12

Application Number	10/678,225
Filing Date	October 6, 2003
First Named Inventor	Kenichi Haruki
Art Unit	2623
Examiner Name	Ryan J. Hesseltine
Attorney Docket Number	000993A

## NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*